

# Information on Anti-Money Laundering.



The Company ("Halteh Financial Group"), as defined in the Client Agreement, is required to comply with certain requirements as laid out by the Mauritius Financial Services Commission ("the FSC") for combating money laundering activities. The Company is required to adhere to stringent Anti-Money Laundering and Counter Terrorism Financing (AML & CFT) procedures and to obtain specific verification data and supporting documentation.

1. The Company ascertains who the beneficial owners of each of its clients' accounts are and does not open or maintain such accounts unless it satisfies the AML & CFT legal criteria for this requirement. In order to support, if necessary, the investigation of criminal offences, it has created procedures to gather appropriate evidence of client identity and maintains proper records of client identity and transactions involved.
2. The Company has policies and procedures in place to recognize and prevent money laundering transactions as well as to guarantee compliance with any relevant laws and regulations enforced by the FSC.
3. The Company is watchful in preventing its involvement in or misuse of money laundering activities, and it does not knowingly accept assets or enter into business relationships where there is a good reason to think that those assets may have been acquired illegally or that they represent the proceeds of criminal activity.
4. Any suspicious activity involving any account must be immediately reported by the Company to the Financial Intelligence Unit ("the FIU"). The Company shall follow established procedures for evaluating the evidence and deciding what course of action should be pursued when there is suspicion that the source of money may be illicit or that a client may be involved in criminal conduct. The Company is required to keep track of both employee and Supervisory Authority reports. Any customer of the company must provide an identification record or another trustworthy, independent source document in order for the company to establish their identity and verify their identity in compliance with AML & CFT legislation standards.
5. When establishing a client relationship, the Company must:
  - a) Learn about the nature and purpose of the business relationship;

- b) Properly identify and verify the identification of the person conducting the transaction, if it is a natural person, by providing information such as:
- I. the person's name and address;
  - II. the national identity card, current valid passport, or other applicable official identifying document;
- c) If the transaction is being carried out by a legal entity, properly identify the beneficial owner of that entity and take reasonable steps to determine and verify its ownership and control structure. This information should include the following:
- I. the customer's name, legal form, headquarters address, and identities of directors;
  - II. the principal owners and beneficiaries and control structure;
  - III. provisions governing the entity's authority to bind the entity, and to confirm that any person purporting to act on its behalf is in fact acting in that capacity.
- d) Have suitable risk management systems to identify whether a client or beneficial owner is a politically exposed person, and if so, shall:
- I. adequately identify and verify his identity in accordance with this section;
  - II. seek senior management's approval prior to establishing a business relationship with the politically exposed person;
  - III. take reasonable measures to establish the source of funds and source of property;
  - IV. conduct routine enhanced monitoring of the business relationship.

Please send your request and/or queries to [info@cgfx.com](mailto:info@cgfx.com) if you need any additional information or have any issues regarding this document.